

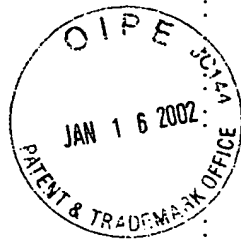
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JAN 24 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Technology Center 2400

Application of

Applicant(s)
Serial No.
Filed
Title



Docket

Scarpino, et al.

09/887,606

June 22, 2001

FREQUENCY RESPONSES, APPARATUS AND
METHODS FOR THE HARMONIC ENHANCEMENT
OF AUDIO SIGNALS
TRD021IA

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CERTIFICATE OF MAILING

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Assistant Commissioner for Patents,
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RAKSLA 32,579
Attorney Reg. No.

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56, 1.97, AND 1.98

Applicants submit herewith patents, publications, and other information of which they are aware, which they believe may be material, as defined in 37 CFR § 1.56(b), to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56(a). While the information referred to in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR § 1.56(b), the filing of this Supplemental Information Disclosure Statement is not intended to, pursuant to 37 CFR § 1.97(h), constitute an admission that any patent, publication, or other information referred to is, or is considered to be, material to the patentability of this invention. Further, pursuant to 37 CFR § 1.97(g), the filing of this Statement should not be construed as a statement that a search has been made or that no other material information exists.

A listing of all items of information cited is attached on PTO Form PTO/SB/08, substitute form for 1449 /PTO. Copies of the items are enclosed for the convenience of the Examiner.

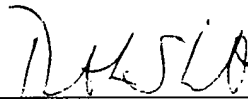
Docket No. TRD021IA

The two German publications cited were referenced by the European Patent Office as International Preliminary Examining Authority in a Written Opinion mailed September, 1996, in PCT/US94/12328. Written English-language translations of the documents are not within the possession, custody or control of, or readily available to any individual designated in 37 CFR § 1.56(c), so translations are not provided.

It is believed that this Supplemental Information Disclosure Statement is being filed within the period set forth in 37 CFR § 1.97(b) because a first Office action on the merits has not been mailed. Therefore, no fee is required.

Respectfully submitted,

STEVENS & SHOWALTER, L.L.P.



Robert L. Showalter
Registration No. 33,579

7019 Corporate Way
Dayton OH 45459-4238
Telephone: (937) 438-6848
Facsimile: (937) 438-2124
E-mail: showalter@speakeasy.net

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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		RE	
				Application Number		09/887,606	JAN Technolo
				Filing Date		June 22, 2001	
				First Named Inventor		Scarpino, E.	
				Group Art Unit		2644	
				Examiner Name			
Sheet	1	of	1	Attorney Docket Number		TRD 021 IA	

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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